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Practitioner's Docket No. CARDE.58039

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Samson, Wilfred J.; Robinson, Janine ; Baker, Steve; and Leary, James J.
Application No.: 09/919,676 Group No.: 3763
Filed: July 31, 2001
For: AORTIC CATHETER WITH POROUS AORTIC ROOT BALLOON AND METHODS FOR INDUCING CARDIOPLEGIC ARREST

BOX: DD
Commissioner for Patents
Washington, D.C. 20231

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WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: 10/29/01

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Date: October 29, 2001

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Practitioner's Docket No. **CARDE.58039**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Wilfred J. Samson; Janine Robinson; Steve Baker; and James J. Leary

Serial No.: 09/919,676

Filed: July 31, 2001

Title: **AORTIC CATHETER WITH POROUS AORTIC ROOT-BALLOON
AND METHODS FOR INDUCING CARDIOPLEGIC ARREST**

**INFORMATION DISCLOSURE
STATEMENT**

Box: DD

Commissioner for Patents
Washington, D.C. 20231

Sir:

Under the duty of candor and good faith in dealing with the United States Patent and Trademark Office as set forth in 37 C.F.R. § 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§609 and 707.05(b), enclosed is a copy of initialed FORM PTO 1449 (SB/08A) listing the references that are known to applicant. The references listed thereon are not enclosed because they were of record in the parent application, Serial No. 09/306,696 filed on May 6, 1999, now U.S. Patent No. 6,267,747 (Docket No. CARDE.51388) on which this application claims priority under 35 U.S.C. § 120. Also enclosed is a copy of PTO Form 892 from the

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Practitioner's Docket No. **CARDE.58039**

parent case. It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application.

Under 37 C.F.R. §§ 1.98(g) and 198(h), Applicant makes no representation that the information submitted herewith is considered to be material to patentability.

Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.

Date: October 29, 2001

Respectfully submitted,

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